



Law Offices of
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PROFESSIONAL LIMITED LIABILITY COMPANY
THE JENIFER BUILDING
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004

P67772US1

Attny's Docket No. _____

SMALL ENTITY DECLARATION
[37 CFR 1.27(a)(1)-(3)]

Each undersigned declares that:

(1) ☐ the application attached hereto.

(2) ☒ U.S. Application Serial No. _____, filed October 4, 2004

(3) ☐ U.S. Patent No. _____, issued _____

is entitled to the benefits of "small entity" status for paying reduced fees under 35 U.S.C. 41(a) and (b) to the Patent and Trademark Office by virtue of the following:

(4) ☐ Each undersigned declares that he/she qualifies as an independent inventor, or would qualify had he/she made the invention, as defined in 37 CFR 1.27(a)(1).

(5) ☒ The undersigned declares that he/she is an official empowered to act on behalf of the concern identified below, that this concern qualifies as a small business concern as defined in 37 CFR 1.27(A)(2); that exclusive rights to the invention have been conveyed to and remain with the small business concern, or if the rights are not exclusive, that all other rights belong to small entities as defined in 37 CFR 1.27(a).

(6) ☐ The undersigned declares that he/she is an official empowered to act on behalf of the organization identified below; that this organization qualifies as a nonprofit organization as defined in

(a) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(A)

(b) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(B)

(c) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(C) State law of _____

(d) ☐ 37 CFR 1.27(a)(3)(i) and (ii)(D); and

that exclusive rights to the invention have been conveyed to and remain with the organization, or if the rights are not exclusive, that all other rights belong to organizations as defined in 37 CFR 1.27(a).

(7) Each person, concern or organization to which I/we have assigned, granted, conveyed or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

(a) ☒ no such person, concern or organization

(b) ☐ persons, concerns or organizations listed below
[a separate declaration is required from each named person, concern or organization having rights to this invention averring to their status as "small entities."]

Full Name _____

Address _____

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I/we acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement of small entity prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I/we hereby declare that all statements made herein of his/her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.

(8)	Typed Name of Inventor	Signature	Date

(9) Evotec Neurosciences GmbH
Name of Small Business Concern or Nonprofit Organization
Johannes Pohlner By [Signature] 24th November 2004
Typed Name Signature Date
Managing Director
Title of Signatory

DEC 30 2004

REFUND COMPLETED
PCT NATIONAL DIVISION

DEP & REF
Room 357

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2005 JAN -6 AM 9:45

Applicants: Rainer HIPFLER, et. al.

Serial No.: 10/509,950

Filed: October 4, 2004

For: CAMP-REGULATED PHOSPHOPROTEIN FOR DIAGNOSTIC &
THERAPEUTIC USE IN NEURODEGENERATIVE DISEASES

TRANSMITTAL OF SMALL ENTITY
DECLARATION AND REQUEST FOR REFUND

REFUND COMPLETED
PCT NATIONAL DIVISION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: REFUND SECTION, ACCOUNTING
DIVISION, OFFICE OF FINANCE

Sir:

Submitted herewith is a Small Entity Declaration, establishing small entity status for the captioned application. In view of Manual of Patent Examining Procedure (MPEP) 509.03, refund of the excess fee paid on **October 4, 2004**, is respectfully requested. Please credit a refund in the amount of **\$1,122.00** to undersigned Counsel's Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By William E. Player
William E. Player
Reg. No. 31,409

400 Seventh Street, N.W.
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(202) 638-6666

Atty. Docket: P67772US1
Date: December 30, 2004
WEP:mch

Adjustment date: 02/17/2005 RWHITE1
10/07/2004 GFREY1 00000003 10509950
01 FC:1613 -950.00 OP
02 FC:1617 -130.00 OP
03 FC:1615 -100.00 OP
04 FC:1614 -1056.00 OP

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Refund Ref:
02/17/2005 0030019929

Credit Card Refund Total: \$1122.00

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